

## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Danne L. Buchanan, et al.

Title:

METHODS AND SYSTEMS FOR PROCESSING

FINANCIAL INSTRUMENT DEPOSITS

Appl. No.:

10/622,832

Filing Date: 07/21/2003

Examiner:

Daniel S. Felten

Art Unit:

3624

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial Nos. 09/560779, filed 4/28/2000 and 09/676,956, filed 10/2/2000. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

FOLEY & LARDNER LLP

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		or form 1449B/F	1. 7//.		Complete if Known	
	INFORMAT	ION DISCLOS	WRE S	Application Number	10/622,832	
	STATEMEN	IT BY APPLIC	ANT F	Filing Date	07/21/2003	
	Date Submitt	od: August 24	8005 B	First Named Inventor	Danne L. Buchanan	
	Date Submitt	eu. August 24	, 4,990	Group Art Unit	3624	
	(use as many	sheets as nec	essary)	Examiner Name	Daniel S. FELTEN	
Sheet	1	of	40	Attorney Docket Number	057898-0107	

	_			U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent D Number	Code (if	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Sheet	2	of	40	Attorney Docket Number	057898-0107	

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Sheet	3	of	40	Attorney Docket Number	057898-0107	

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	Total Control	NON PATENT LITERATURE DOCUMENTS	
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Signature		Considered	
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	INFORMATI	ON DISCLO	SURE	Application Number	10/622,832
	STATEMEN			Filing Date	07/21/2003
	D - 1 - 0 - 1 24		4 2005	First Named Inventor	Danne L. Buchanan
	Date Submitte	ea: August 2	4, 2005	Group Art Unit	3624
	(use as many s	sheets as ne	cessary)	Examiner Name	Daniel S. FELTEN
Sheet	7	of	40	Attorney Docket Number	057898-0107

		NON PATENT LITERATURE DOCUMENTS	
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Sheet	8	of	40	Attorney Docket Number	057898-0107	

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Sheet	10	of	40	Attorney Docket Number	057898-0107		

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	Date Submitte	a: August 2	4, 2005	Group Art Unit	3624	
	(use as many s	heets as ne	ecessary)	Examiner Name	Daniel S. FELTEN	
Sheet	14	of	40	Attorney Docket Number	057898-0107	

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	Date Submitte	ea: August 24	4, 2005	Group Art Unit	3624	
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	Date Submitte	ea: August 2	4, 2005	Group Art Unit	3624	
	(use as many s	sheets as ne	cessary)	Examiner Name	Daniel S. FELTEN	
Sheet	21	of	40	Attorney Docket Number	057898-0107	

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	STATEMENT	BY APPLI	CANT	Filing Date	07/21/2003	
	Data Cubmitta	d. August O	4 2005	First Named Inventor	Danne L. Buchanan	
	Date Submitte	a: August 2	4, 2005	Group Art Unit	3624	
	(use as many s	heets as ne	cessary)	Examiner Name	Daniel S. FELTEN	
Sheet	28	of	40	Attorney Docket Number	057898-0107	

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	Date Submitte	u. August Z	4, 2005	Group Art Unit	3624	
	(use as many s	heets as ne	cessary)	Examiner Name	Daniel S. FELTEN	
Sheet	31	of	40	Attorney Docket Number	057898-0107	

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	INFORMATION	ON DISCLO	SURE	Application Number	10/622,832	
	STATEMENT	BY APPL	CANT	Filing Date	07/21/2003	
	D-4- C-b:#-	d. A 2	4 2005	First Named Inventor	Danne L. Buchanan	
	Date Submitte	a: August 2	4, 2005	Group Art Unit	3624	
	(use as many s	heets as ne	cessary)	Examiner Name	Daniel S. FELTEN	
Sheet	35	of	40	Attorney Docket Number	057898-0107	

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	D-4- C:#-	d. A 7	4 2005	First Named Inventor	Danne L. Buchanan	
	Date Submitte	a: August 2	4, 2005	Group Art Unit	3624	
	(use as many s	heets as ne	ecessary)	Examiner Name	Daniel S. FELTEN	
Sheet 36 of 40				Attorney Docket Number	057898-0107	

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(use as many sheets as necessary)			cessary)	Examiner Name	Daniel S. FELTEN	
Sheet	37	of	40	Attorney Docket Number	057898-0107	

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	INFORMATION	ON DISCLO	SURE	Application Number	10/622,832	_
STATEMENT BY APPLICANT				Filing Date	07/21/2003	
	Data Cubasitta	d. August 7	4 2005	First Named Inventor	Danne L. Buchanan	
	Date Submitte	a. August 2	4, 2005	Group Art Unit	3624	
	(use as many s	heets as ne	ecessary)	Examiner Name	Daniel S. FELTEN	
Sheet	38	of	40	Attorney Docket Number	057898-0107	

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